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## Applying the SHANSEP Approach to In Situ Tests and Driven Pile Capacity Design in Cohesive Soils

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# Key Points in this Presentation

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- ▶ Gain an understanding of:
  - The importance of the SHANSEP equation in soil mechanics
  - The direct relationship of in situ and laboratory tests to the soil OCR using SHANSEP and the development of correlation coefficients
  - The benefits of applying the SHANSEP approach to design of driven piles in cohesive soils
  - This presentation draws on three papers in the ASCE Geotechnical and Geoenvironmental Engineering Journal referenced at the end

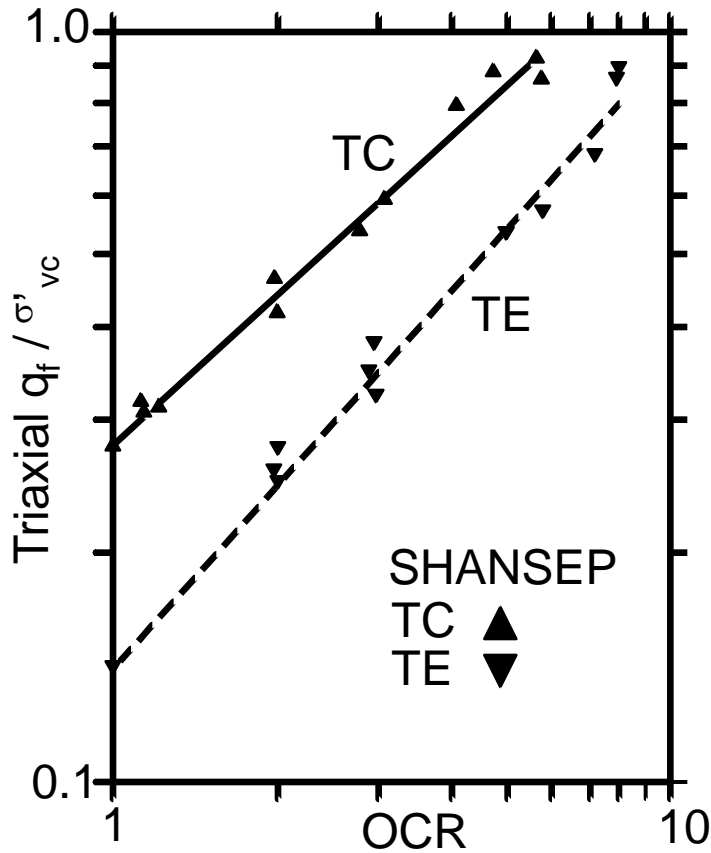
# Stress History and Normalized Soil Engineering Properties (SHANSEP)

- ▶ Ladd and Foott (1974)
- ▶ Reconsolidation technique to assess the undrained strength of soils referencing OCR
- ▶ Oedometer tests key to defining soil OCR
- ▶ Define the normally consolidated behavior
  - ▶ Define the overconsolidated behavior

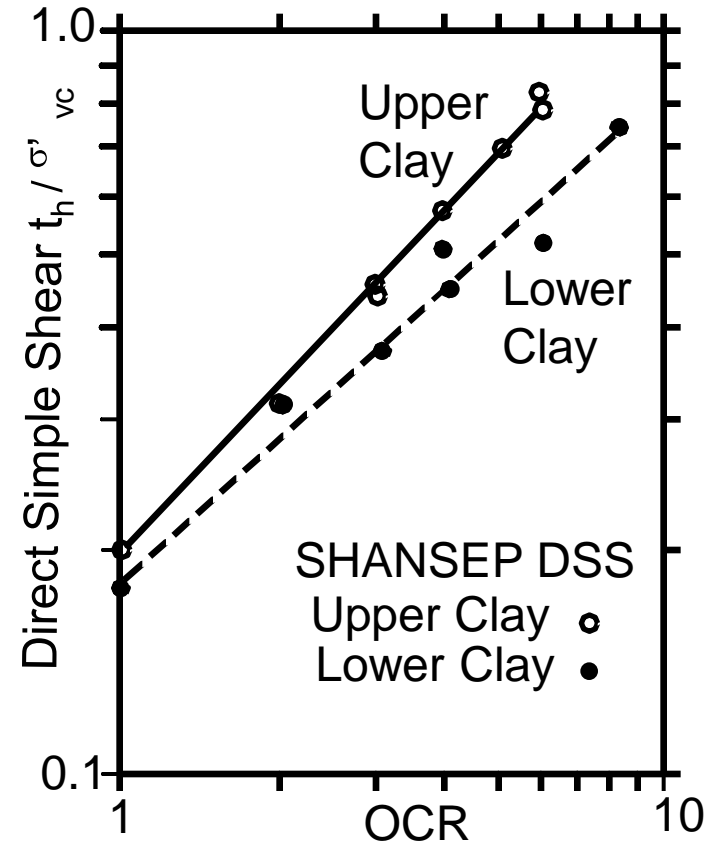
$$\frac{s_u}{\sigma'_{vo}} = S (\text{OCR})^m$$

- ▶ Calculate  $s_u$  recognizing anisotropy

# SHANSEP Approach Ladd and Foott (1974)



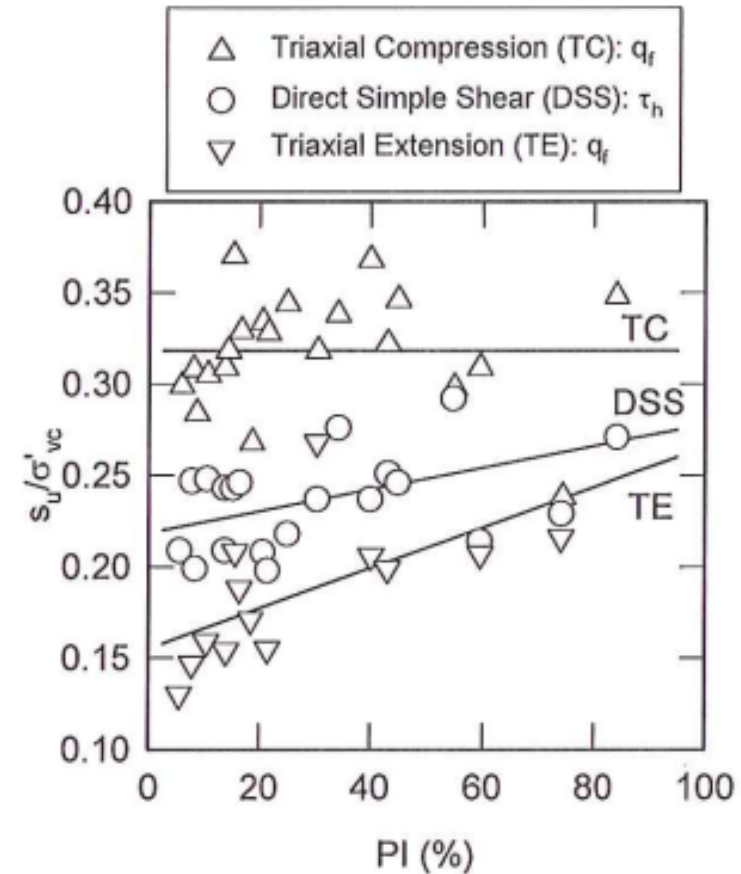
$$\frac{S_u}{\sigma'_{vo}} = S (\text{OCR})^m$$



Boston Blue Clay – Building 68

# Undrained Strength Variations

- ▶ Mode of failure is important in stability calculations but often overlooked
- ▶ Embankment mode of failure controls the stability for the linear feature
- ▶  $(DSS + TC + TE) / 3$
- ▶ See Ladd and DeGroot (2003)

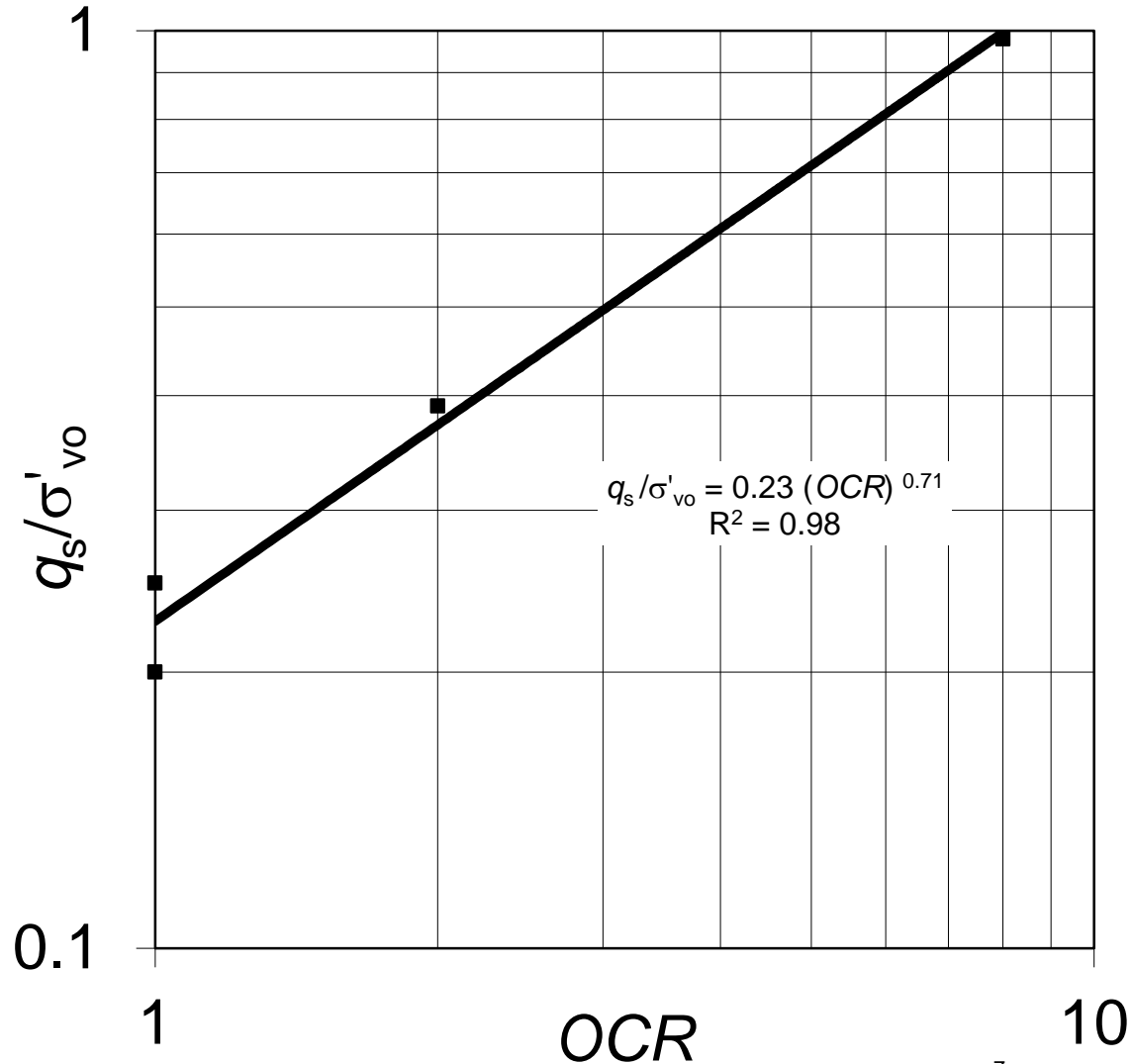


# The SHANSEP-based Approach to Design Driven Piles in Cohesive Soils

- ▶ Saye et al. (2013a) and Saye et al. (2016)
- ▶  $q_s/\sigma'_{v0} = C_1 (q_s/\sigma'_{v0})_{NC} (\text{OCR})^m$
- ▶ Evaluate the normally consolidated behavior
- ▶ Evaluate the overconsolidated behavior
  
- ▶  $C_1$  factor recently added

# Cambridge Model Pile Tests

- ▶ Adapted from Steinfeld et al. (1981) and Kraft (1982)



## Soil Data in Historical Empirical Record

- ▶ Most soil property data in the historical record for empirical correlations of pile side resistance reference  $s_u$  UUC data
- ▶ Effort to systematically relate  $s_u$  UUC to OCR in Saye et al. (2013a)
- ▶ Key concept is to eliminate disturbed  $s_u$  UUC data from the empirical record for  $q_s$
- ▶ Oedometer tests considered much less susceptible to disturbance than UUC tests in low OCR soils



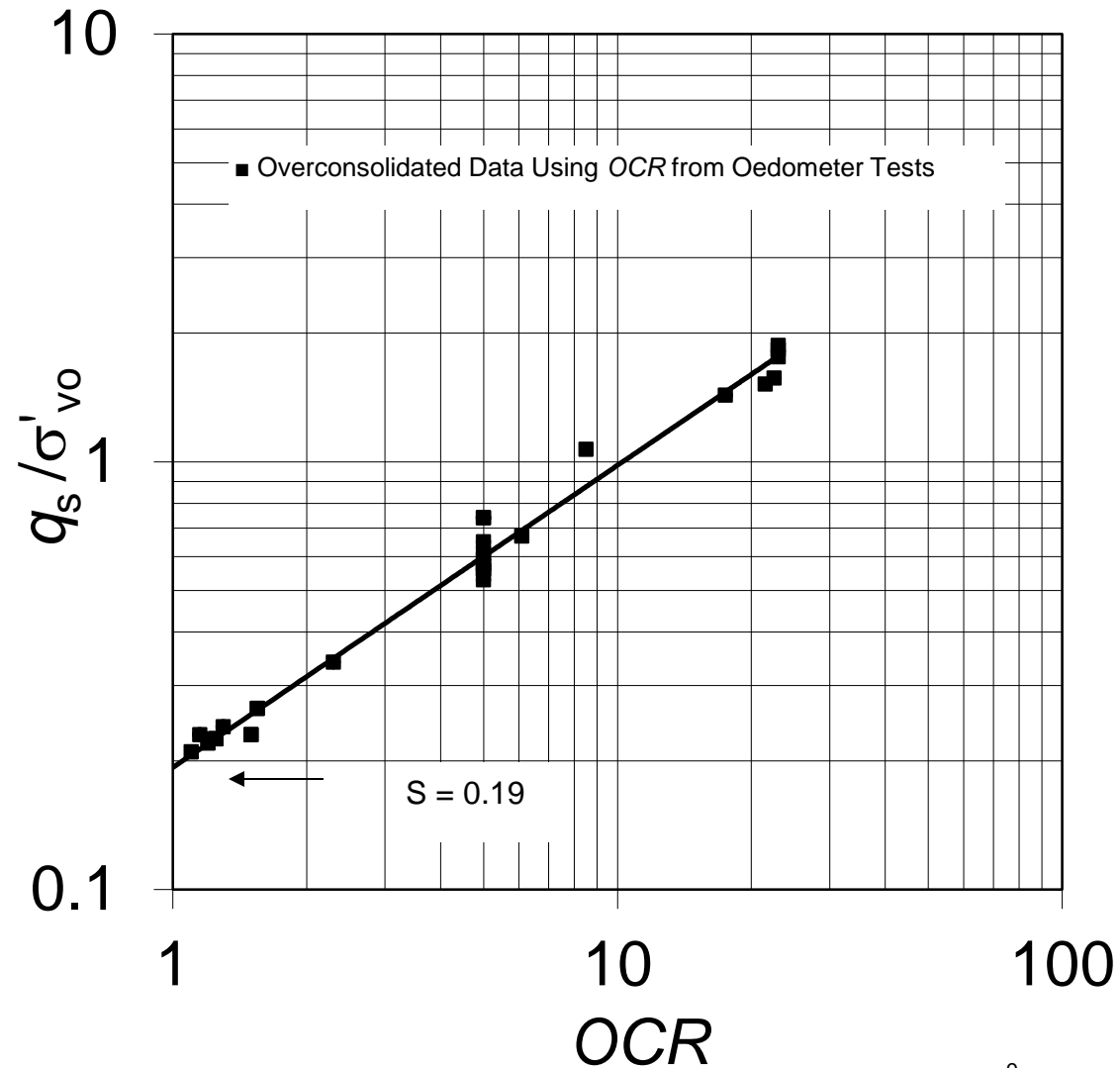
# Screened OCR Data for Oedometer Test

## Record

▶  $q_s / \sigma'_{vo} = S (\text{OCR})^m$

▶  $S = 0.19$

▶  $m = 0.71$



# Empirical Correlation for Pile Capacity Referencing OCR Values from CPTu Tests

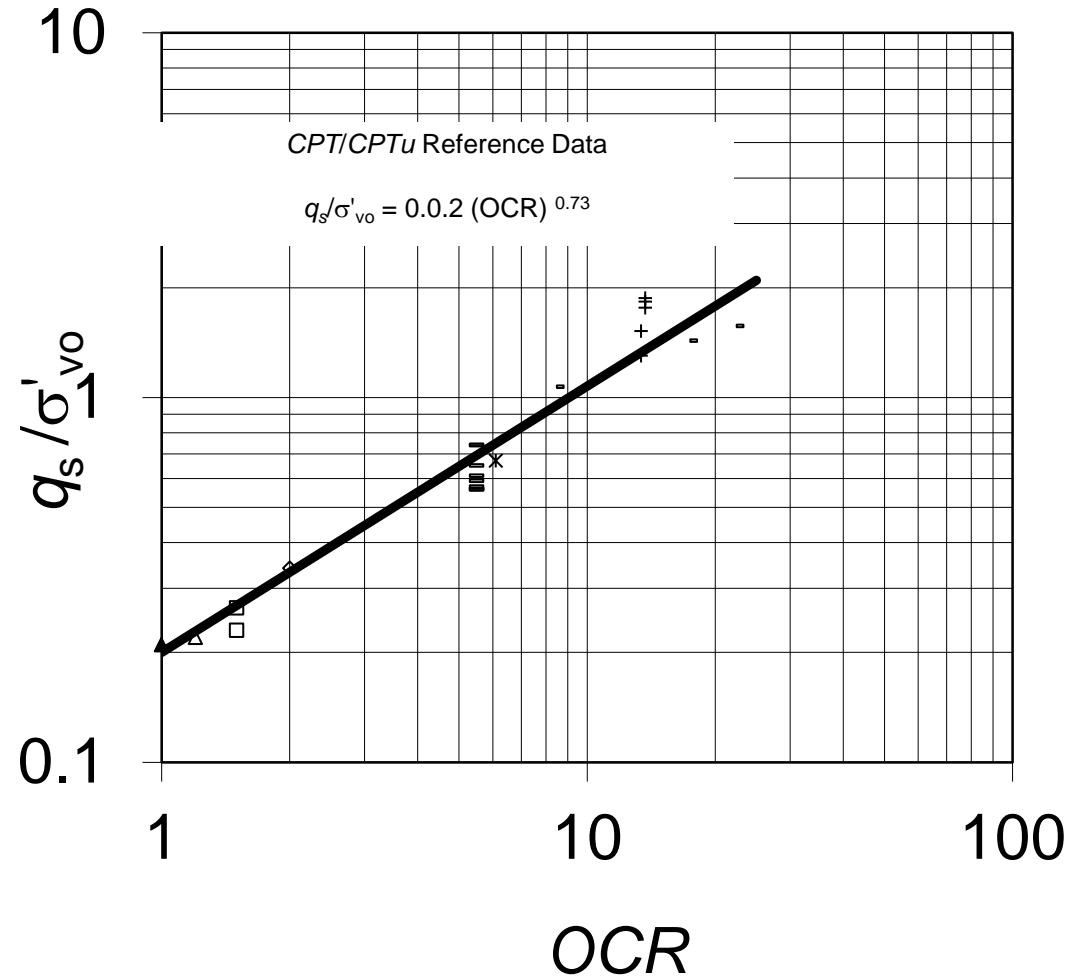
▶  $q_s / \sigma'_{vo} = S (\text{OCR})^m$

▶ CPTu Reference

▶  $S = 0.2$

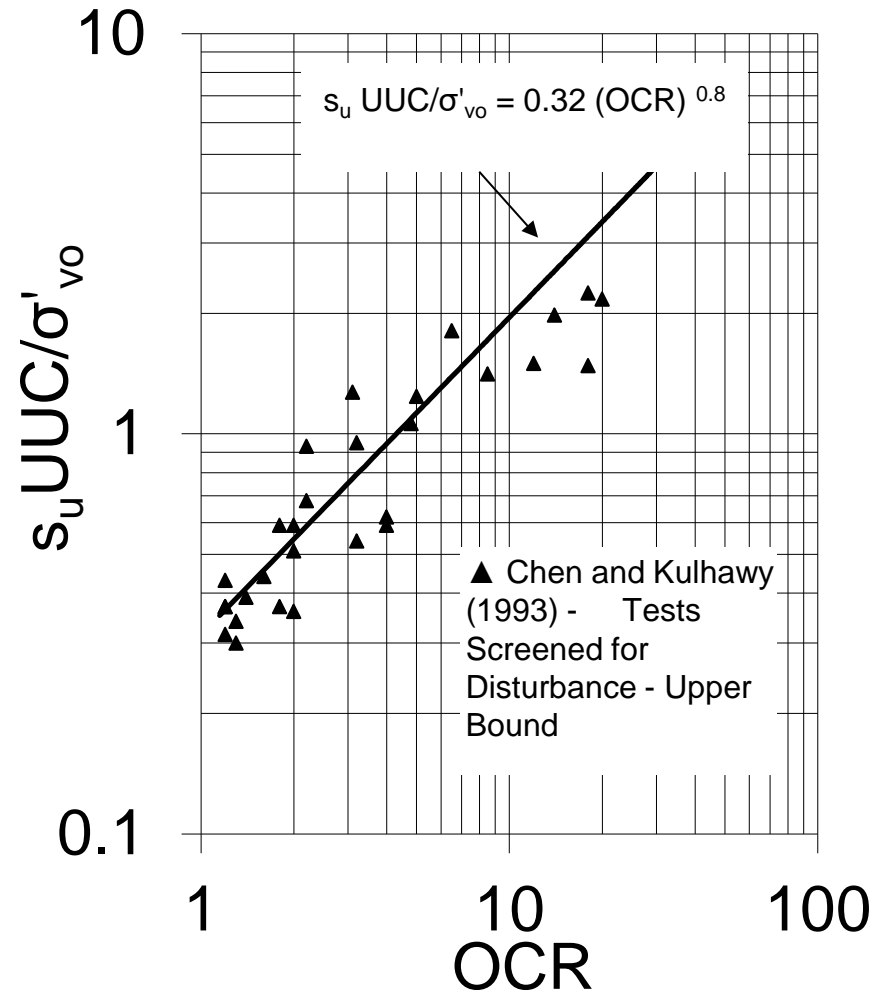
▶  $m = 0.73$

▶ OCR calculation by Saye et al. (2013b)



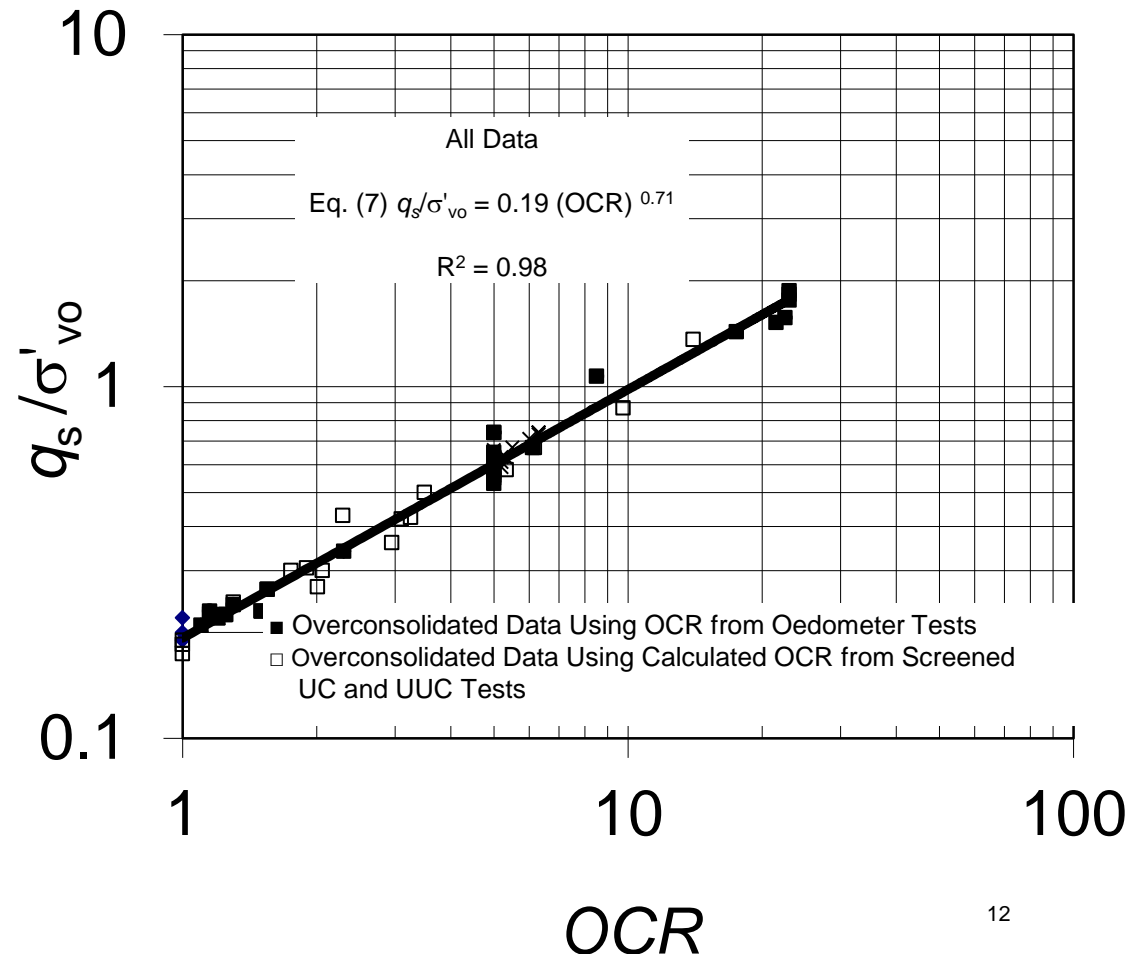
# UUC Data to Assess OCR

- ▶  $S_u UUC / \sigma'_{v0} = S (OCR)^m$
- ▶ High quality samples
- ▶  $S = 0.32$
- ▶  $m = 0.8$
- ▶  $S_u UUC / \sigma'_{v0} < 0.3$  Indicates disturbed sample



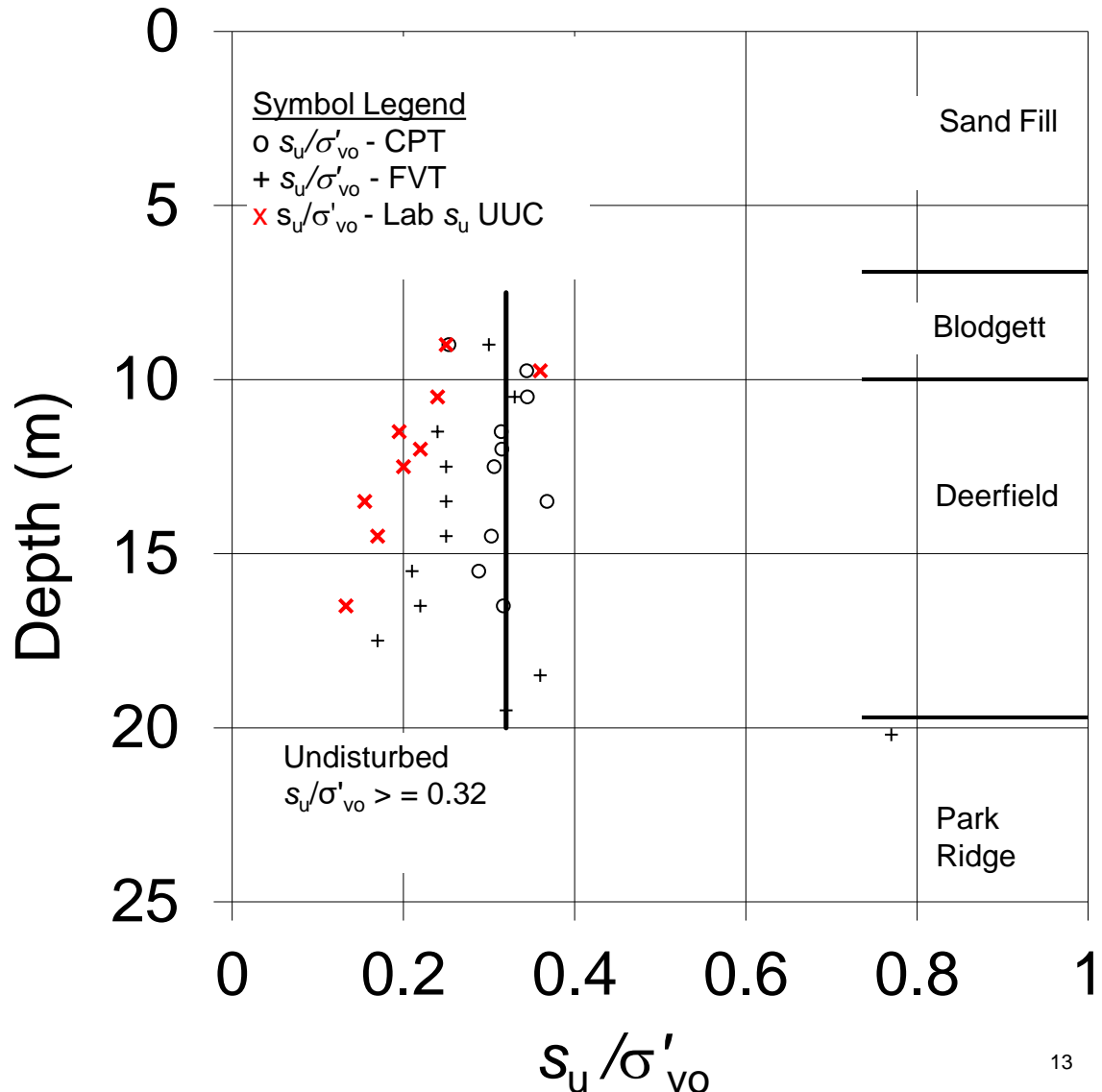
# Screened OCR Data from Oedometer Test Record and Undrained Strength Tests

- ▶ Composite assessment of OCR using oedometer and  $s_u$  UUC tests
- ▶ Different from Esrig and Kirby (1979)



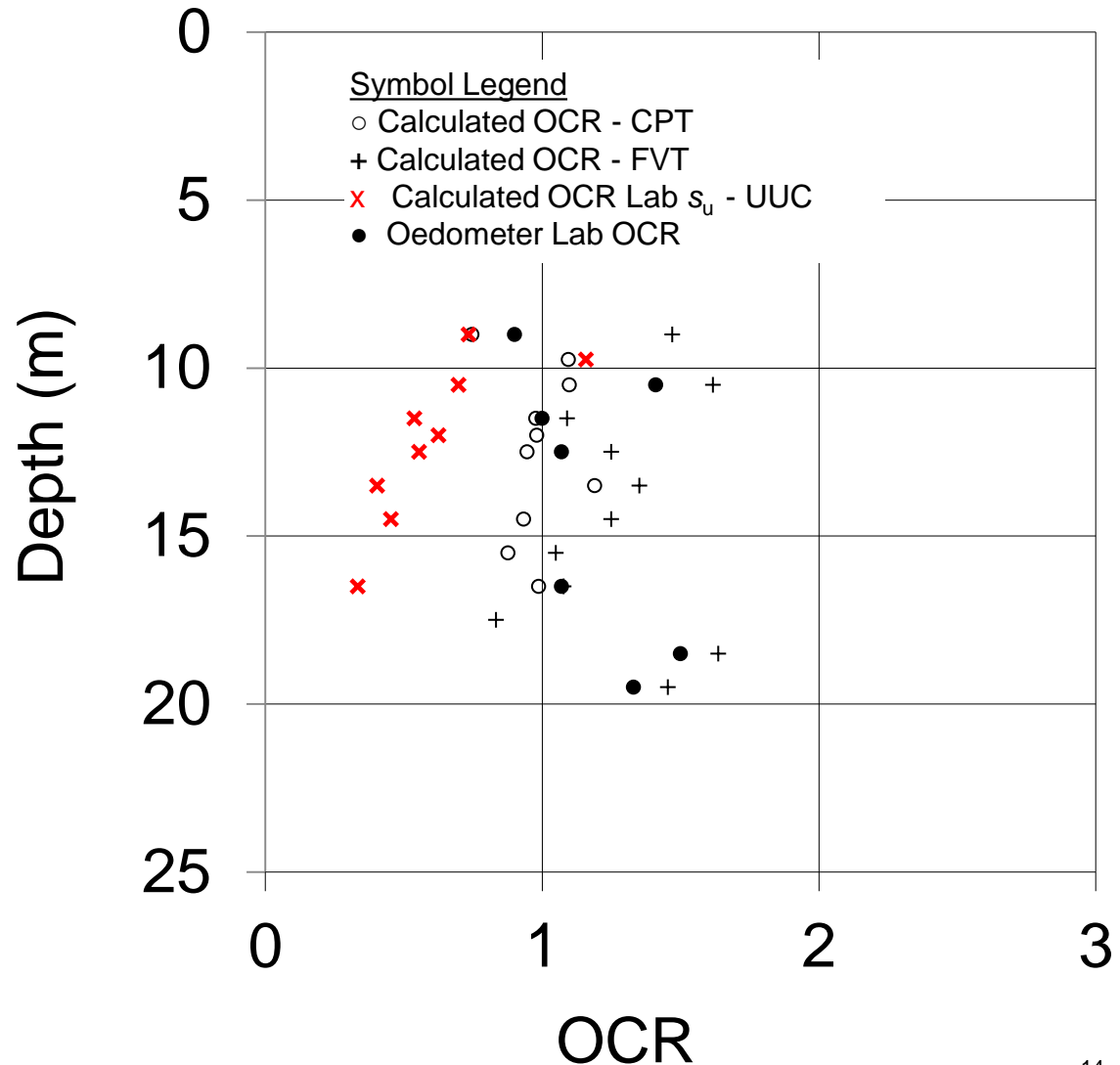
# Northwestern NGES Site - Sample Disturbance

- ▶  $s_u \text{ UUC} / \sigma'_{v0} < 0.3$  indicates disturbed sample
- ▶ Almost all  $s_u \text{ UUC}$  data are considered disturbed
- ▶ Oedometer tests appear to be undisturbed  
calculated  $s_u / \sigma'_{v0}$  near 0.32



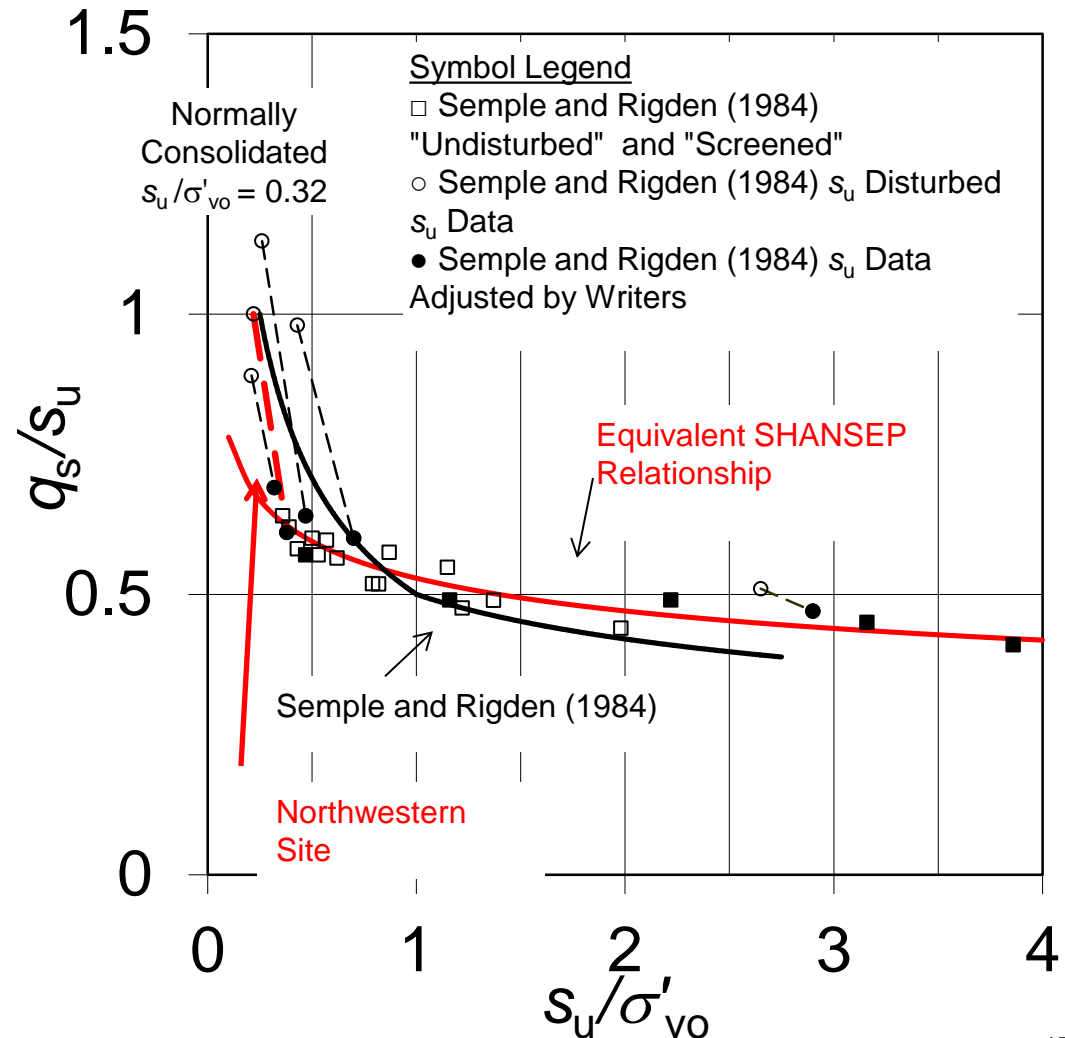
# Northwestern NGES Site – Sample Disturbance

- ▶ Calculated OCR values from  $s_u$  UUC data show sample disturbance
- ▶ Laboratory oedometer tests and field vane tests show a small overconsolidation



# Match Disturbance Effects in the Empirical Correlation for Pile Capacity

- ▶ Saye et al. (2016)
- ▶ Equivalent  $\alpha$  analysis
- ▶ Effect of sample disturbance
- ▶ “Better” samples does not necessarily mean a better assessment of  $q_s$



## Better Soil Properties for Pile Design

- ▶  $s_u \text{ UUC} / \sigma'_{v0} < 0.3$  Likely disturbed
- ▶  $s_u \text{ UUC} / \sigma'_{v0} < 0.8$  Use oedometer tests to confirm OCR
- ▶  $s_u \text{ UUC} / \sigma'_{v0} > 0.8$  Likely undisturbed
  
- ▶ Match empirical correlation to sample quality and screening

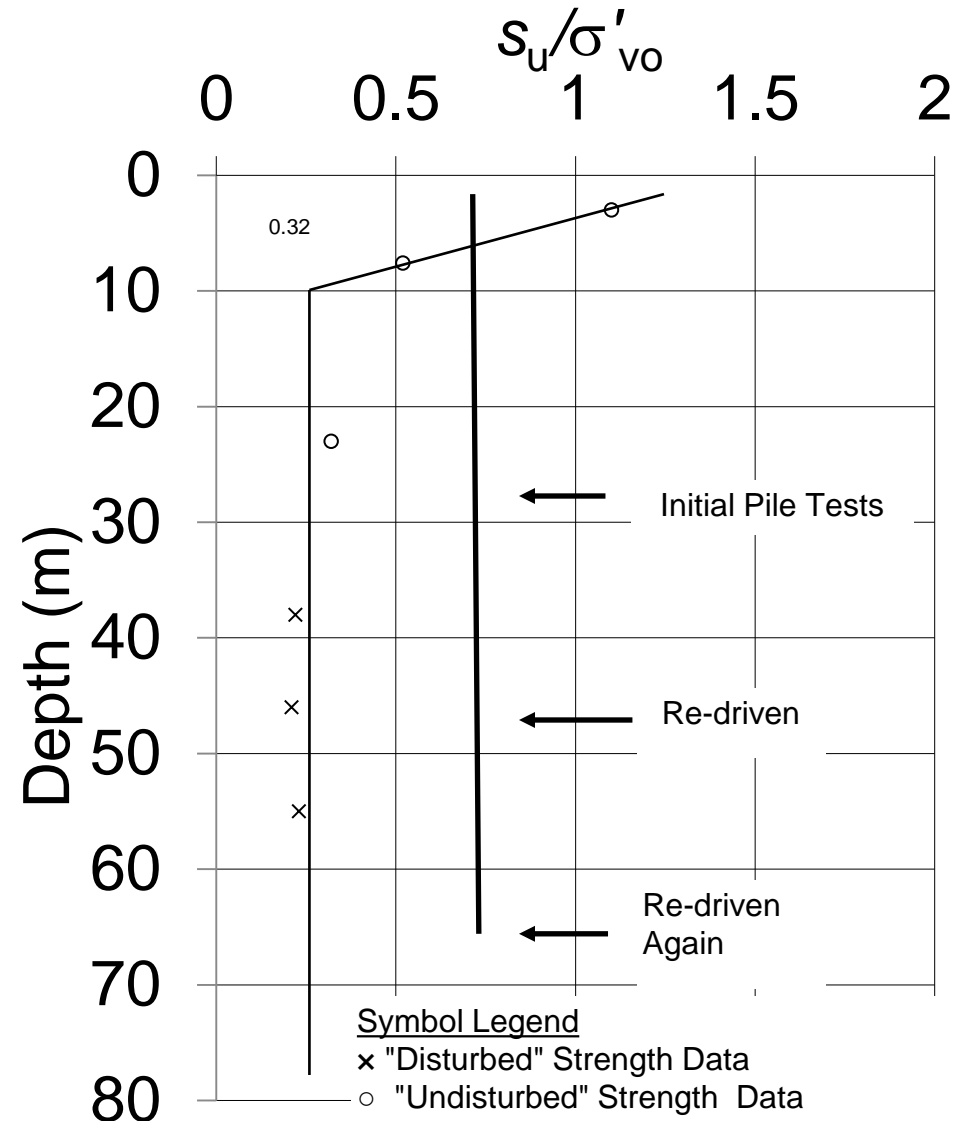


# Construction Influence Factor

- ▶ Currently the SHANSEP-based approach is modified to add the effects of specific construction installation factors described in Saye et al. (2018)
- ▶ Re-driving
- ▶ Long installation time
- ▶ Vibratory hammer installation
  
- ▶  $q_s/\sigma'_{vo} = C_1 (q_s/\sigma'_{vo})_{NC} (\text{OCR})^{0.7}$

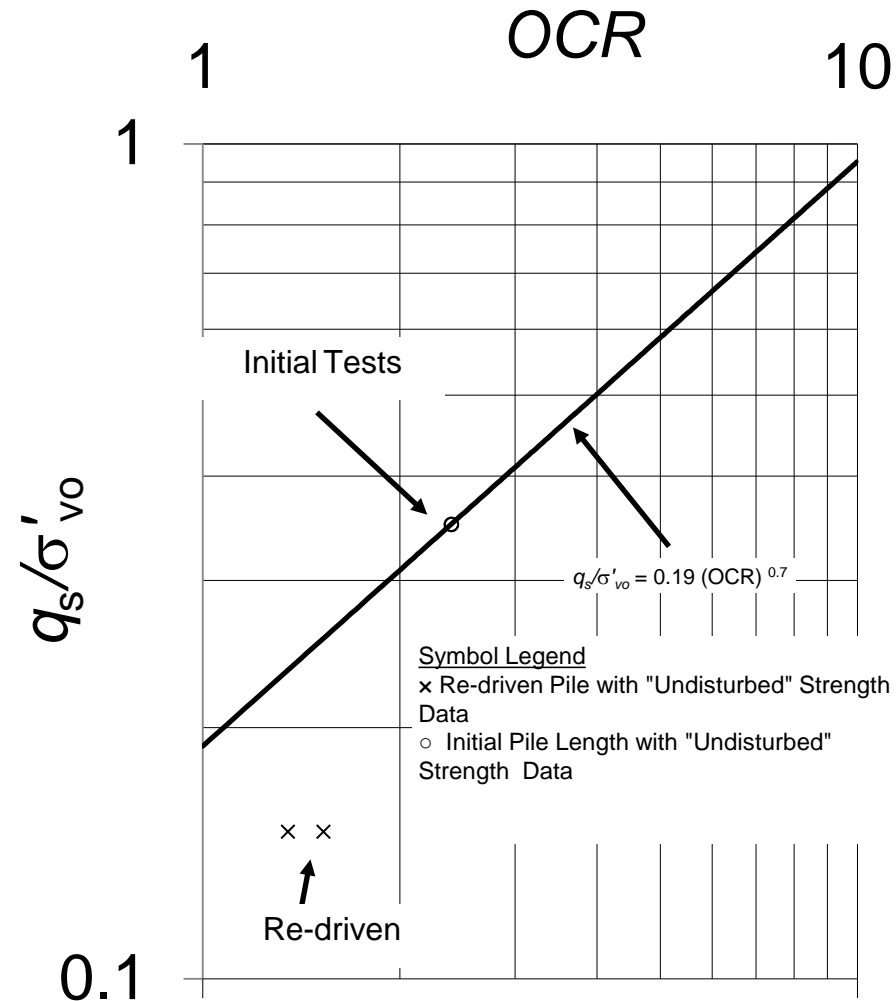
# Drayton North Dakota Site – Long Re-driven Piles

- ▶  $s_u \text{ UUC} / \sigma'_{v0} < 0.3$   
Indicates disturbed sample
- ▶ Pile subsequently re-driven two times after set-up and load tested



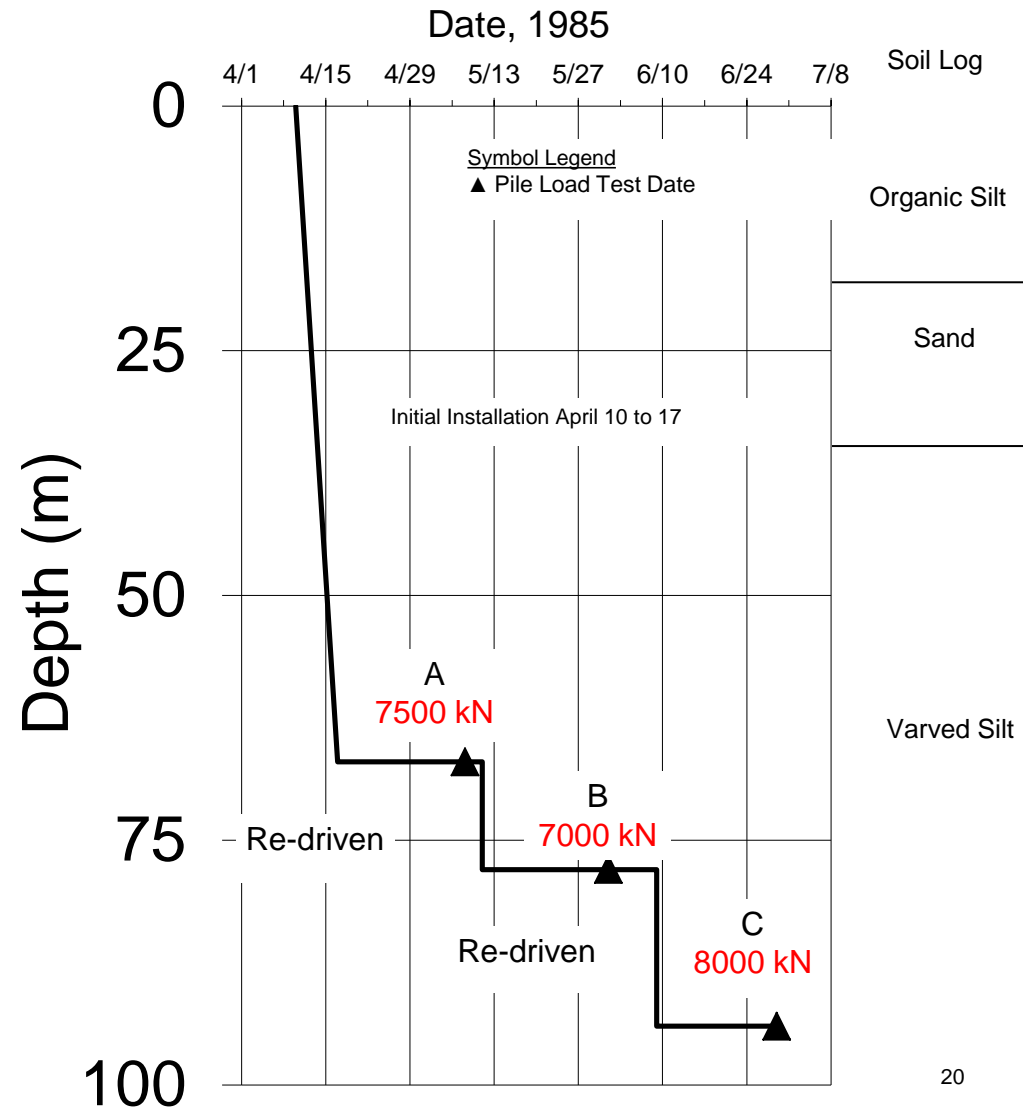
# Drayton North Dakota Site – Long Re-driven Piles

- ▶ Initial tests consistent with SHANSEP relationship
- ▶ Re-driven tests show much lower  $q_s/\sigma'_{vo}$  values and are impacted by the method of installation
- ▶ Re-driven piles should not be in the empirical case history record for  $q_s$  correlations
- ▶  $C_1 = 0.5$  for re-driven pile section

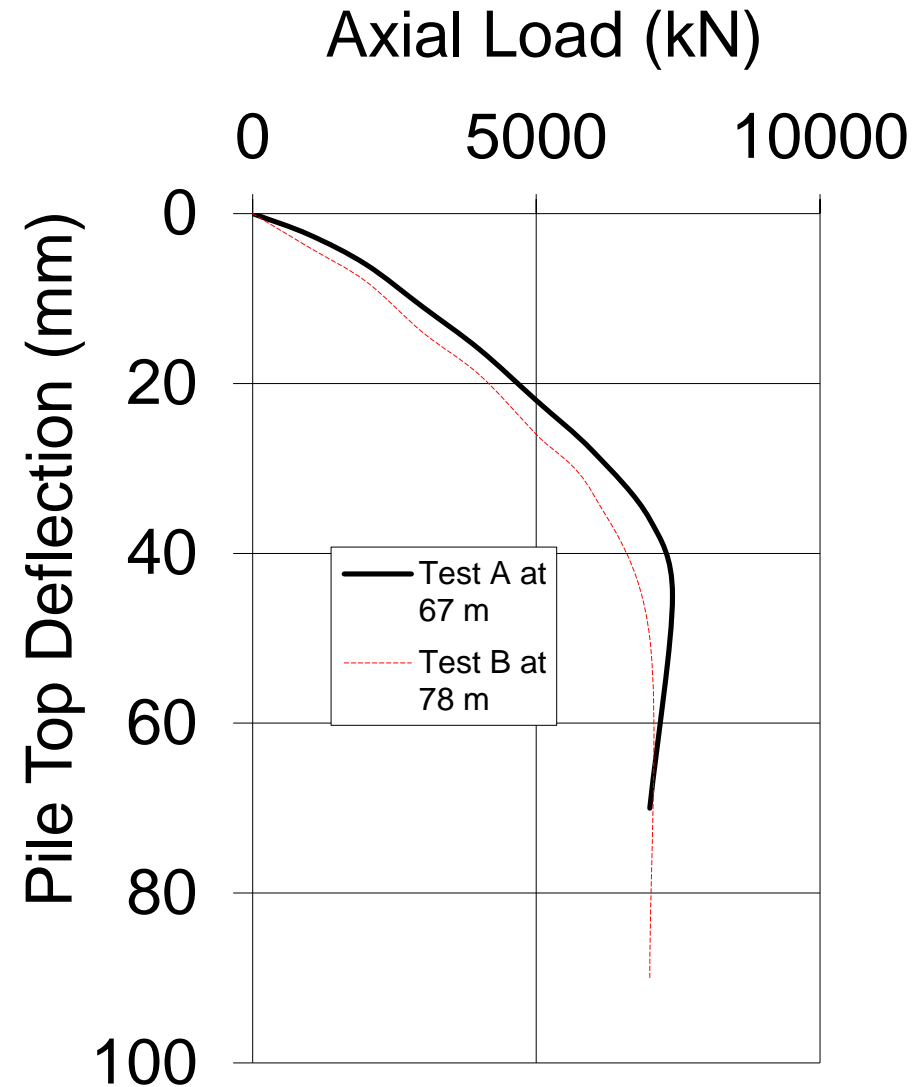
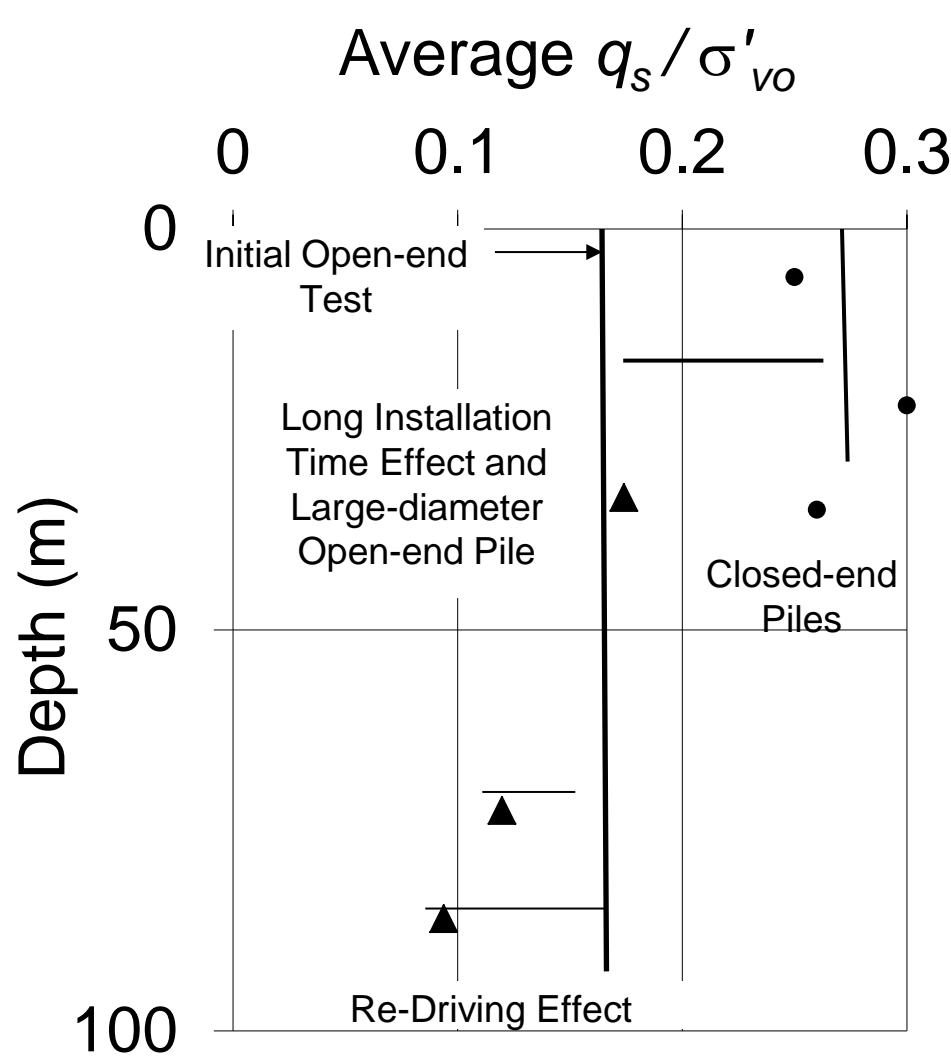


# Annacis Bridge – Long Installation Time and Re-Driven Pile

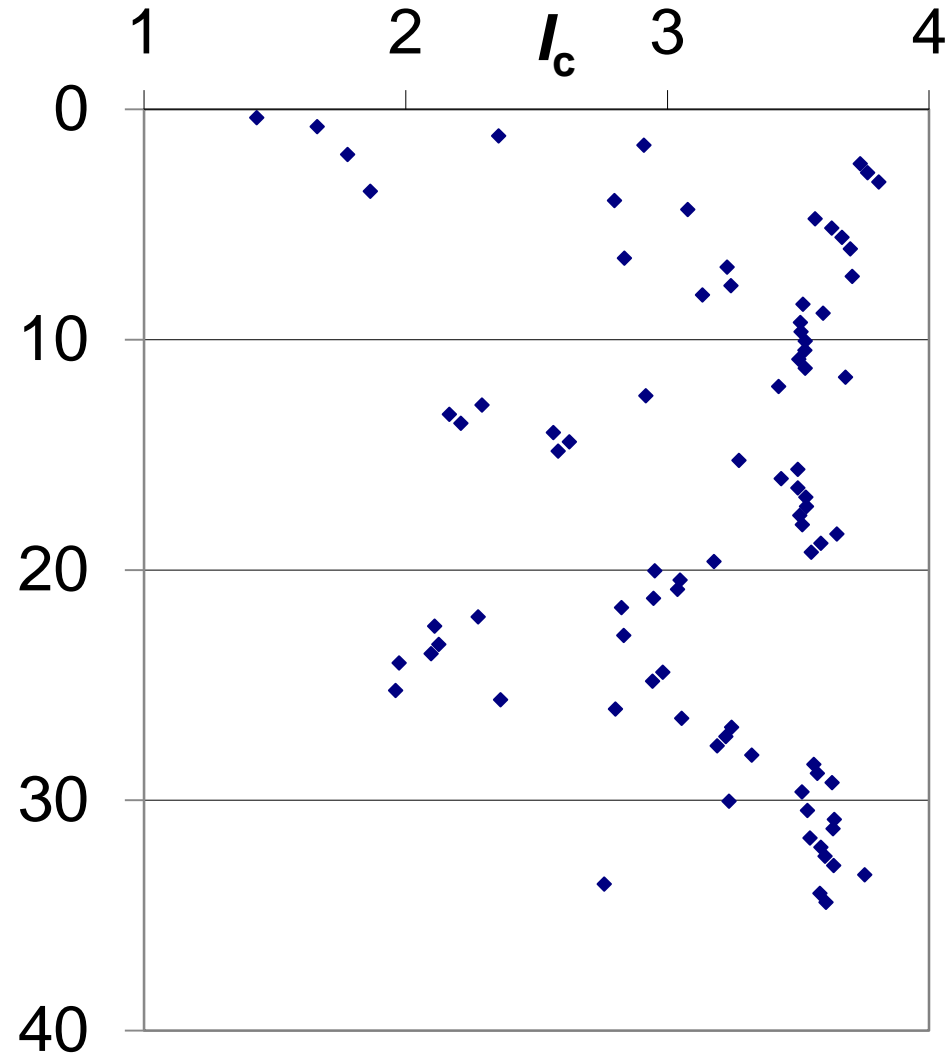
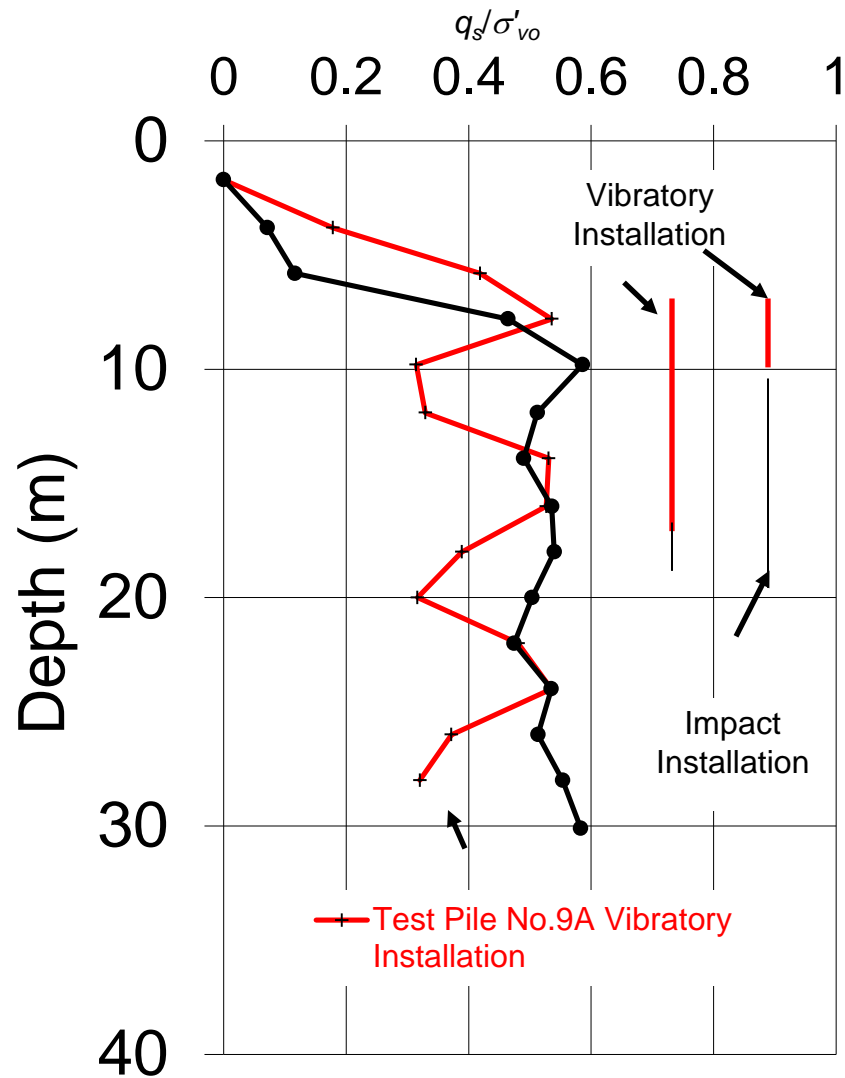
- ▶ Open-ended pipe pile bearing in Fraser River alluvium
- ▶ First section driven in a 7 day time interval
- ▶ Pile subsequently re-driven and load tested two times
- ▶ Test B was driven 11 m deeper but showed lower capacity than test A



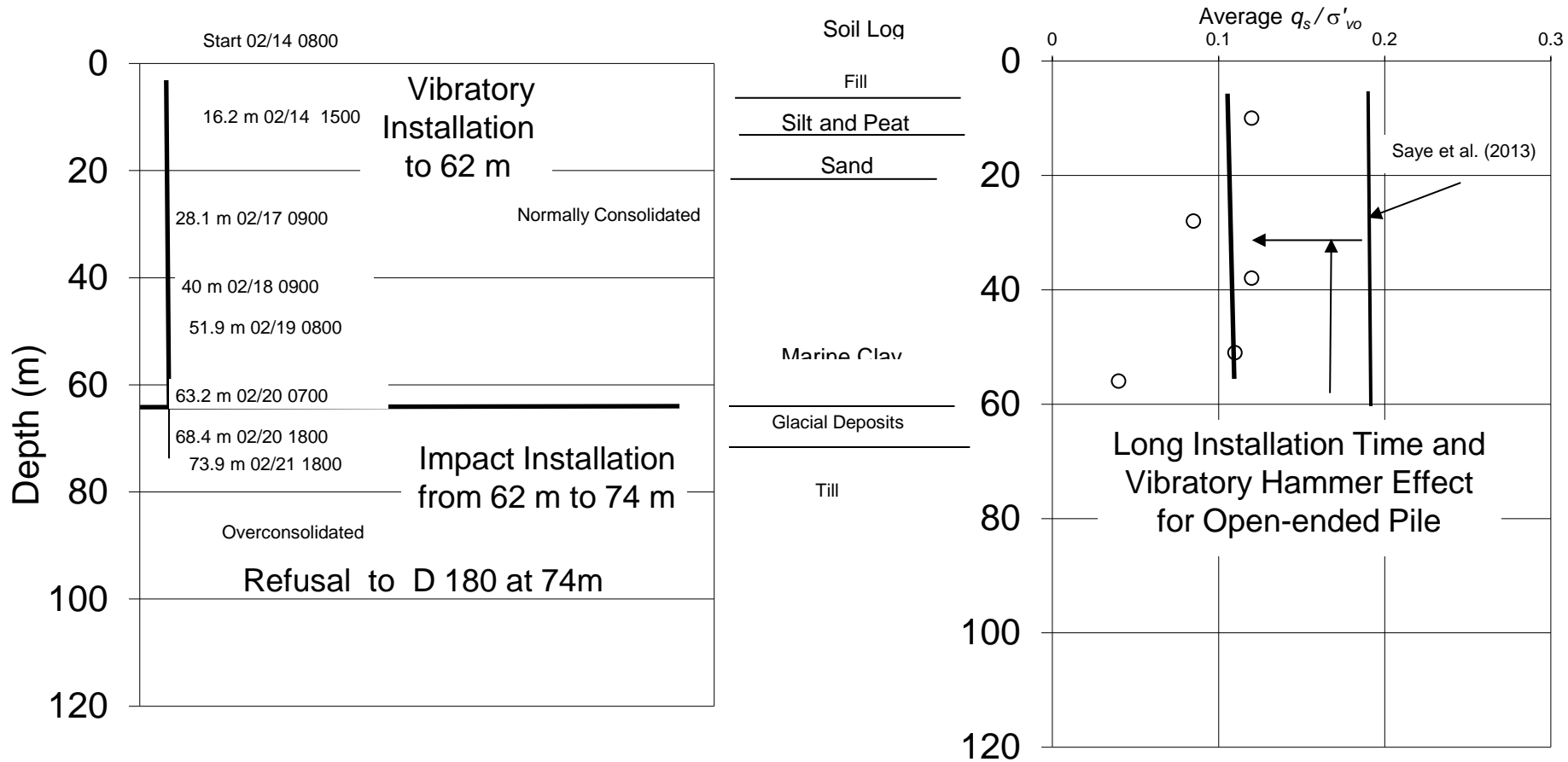
# Annacis Bridge – Long Installation Time



# Vibratory Hammer Installation – NOLA Site

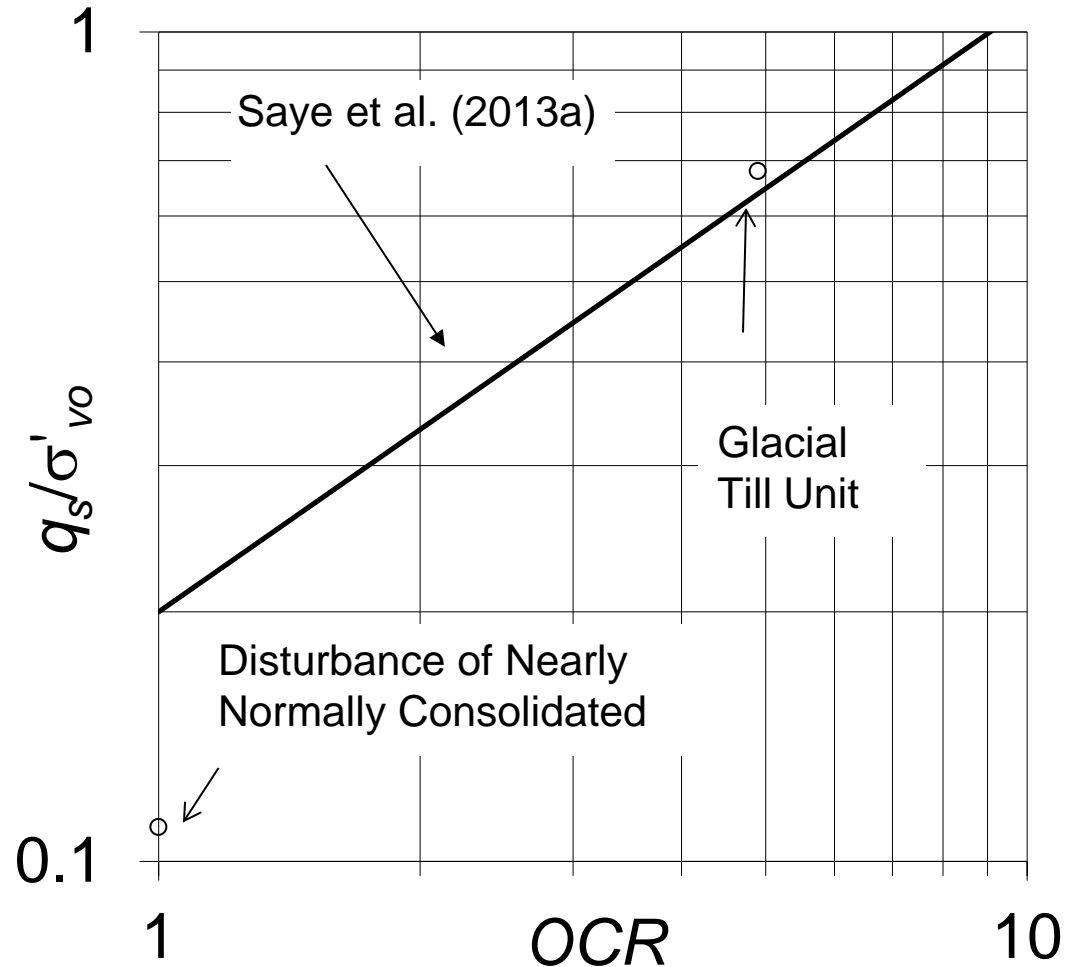


# Port Mann Bridge Adapted from Petek et al. (2012)



# Port Mann Bridge

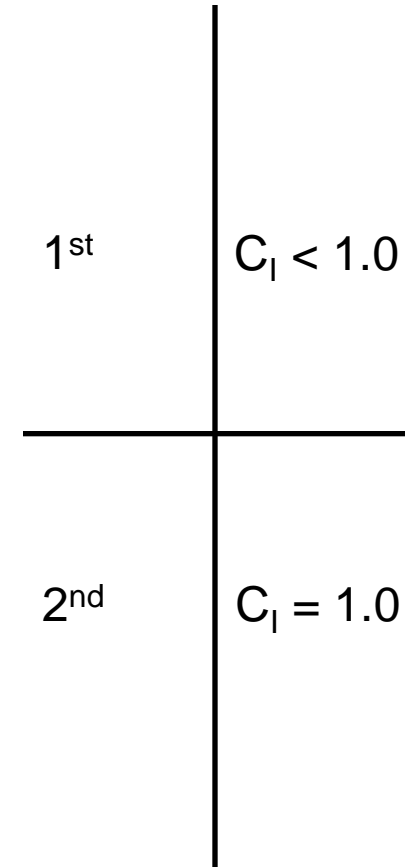
- ▶ Nearly normally consolidated soils weakened by long installation time at Port Mann
- ▶ This disturbance is considered unavoidable
- ▶ Reasonable calculated capacity of overconsolidated glacial deposits with SHANSEP approach





# Spliced Pile Example

- ▶ Upper Section  $C_1 = 0.5$ 
  - Vibratory hammer installation
  - Long delay time for splicing
- ▶ Lower section  $C_1 = 1$  for impact hammer installation with un-interrupted drive to tip
- ▶ Instrumented pile load test to confirm  $C_1$  value

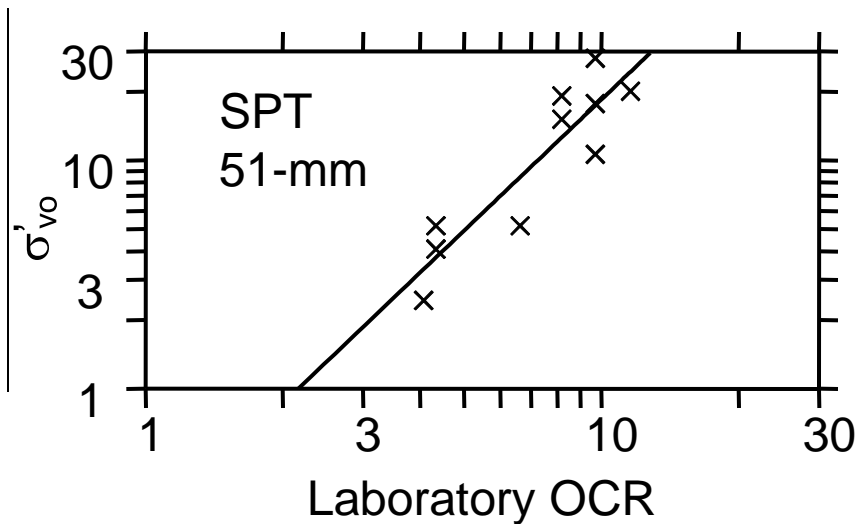
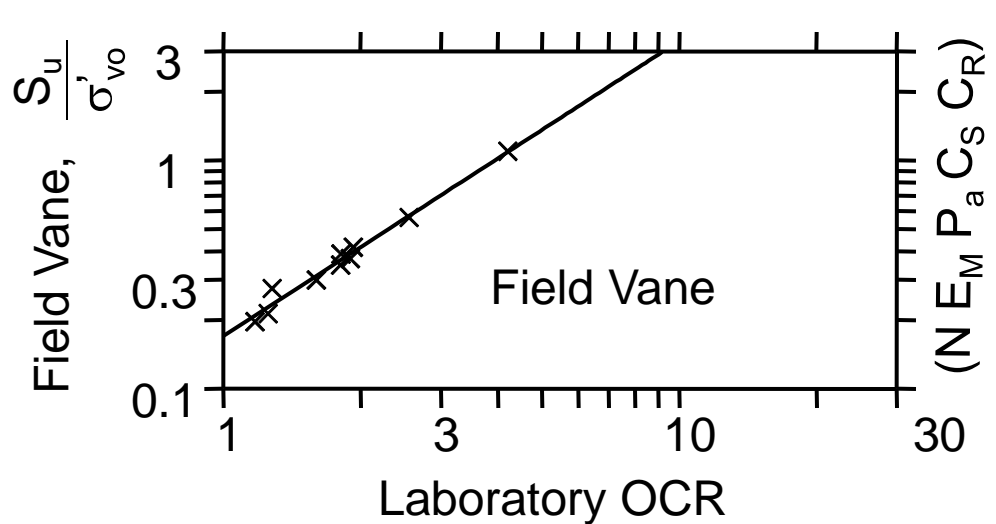
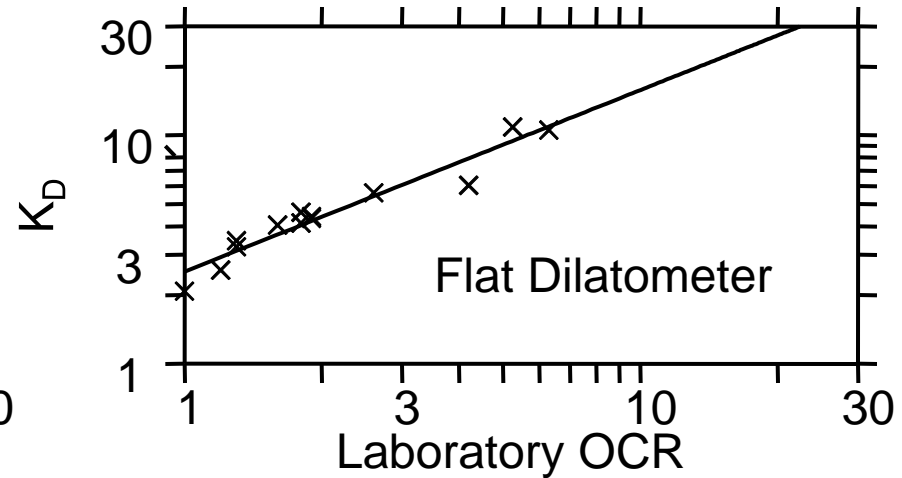
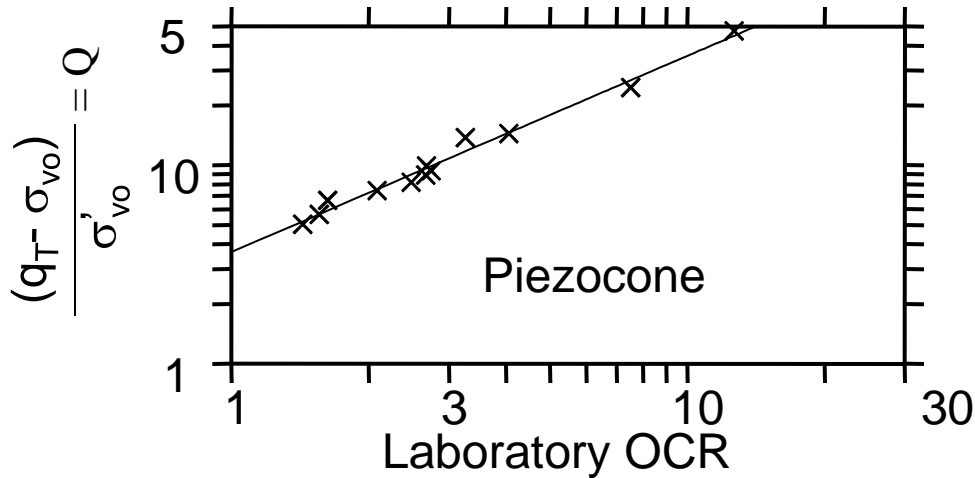


## SHANSEP Approach Applies to Each In Situ Test Method

- ▶ Ladd et al. (1998) – piezocone tests
- ▶ Normalize test result to  $\sigma'_{vo}$
- ▶ Relate to OCR
- ▶ The normally consolidated normalized value = S
- ▶ Determine the increase in test results with increasing overconsolidation = exponent m

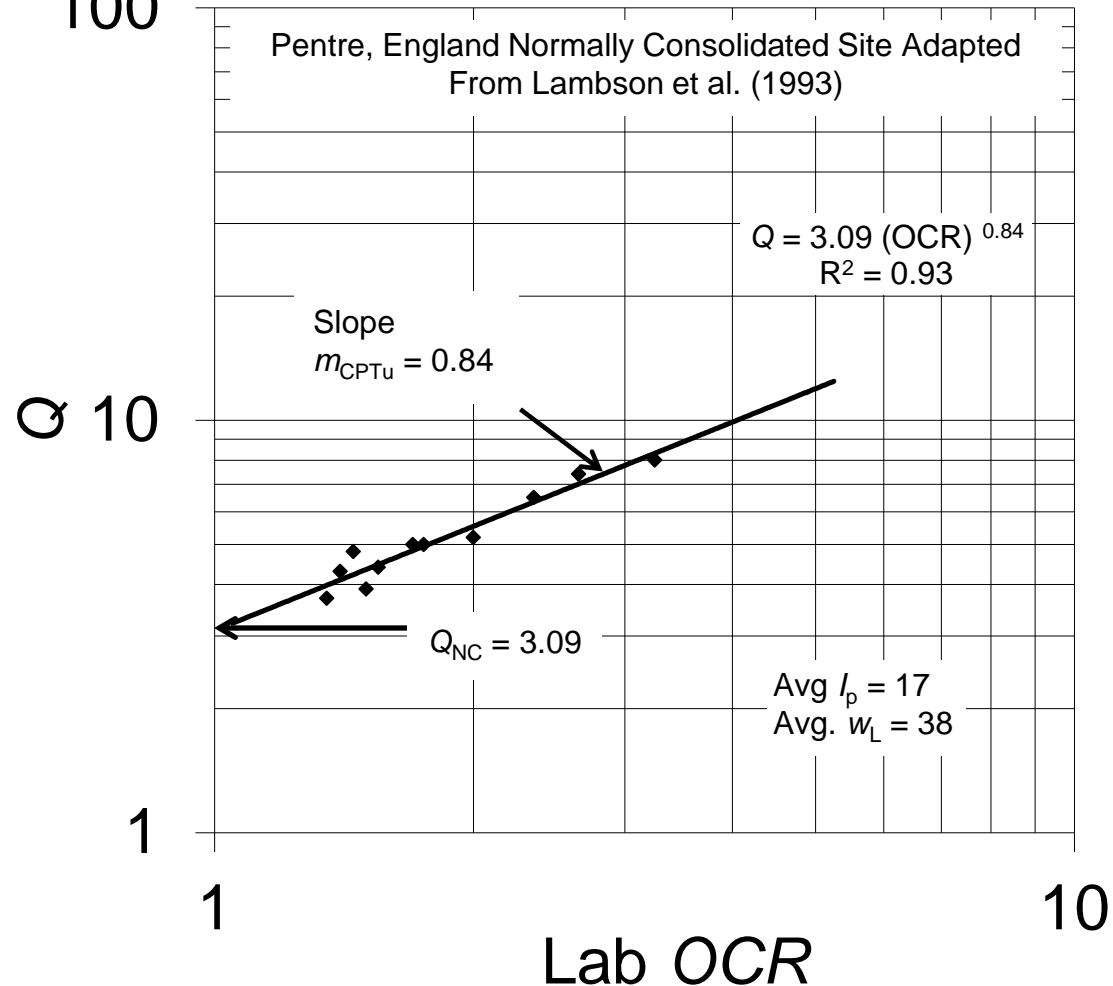
$$\frac{\text{In Situ Test Parameter}}{\sigma'_{vo}} = S (\text{OCR})^m$$

# University of Massachusetts National Geotechnical Experimentation Site



# Piezocene Data to Assess OCR

- ▶  $Q = Q_{NC} (OCR)^{m_{CPTu}}$  100
- ▶ High quality samples for correlations
- ▶  $Q_{NC}$  = Varies with soil index properties
- ▶  $m_{CPTu}$  = Varies with soil structure and soil index properties



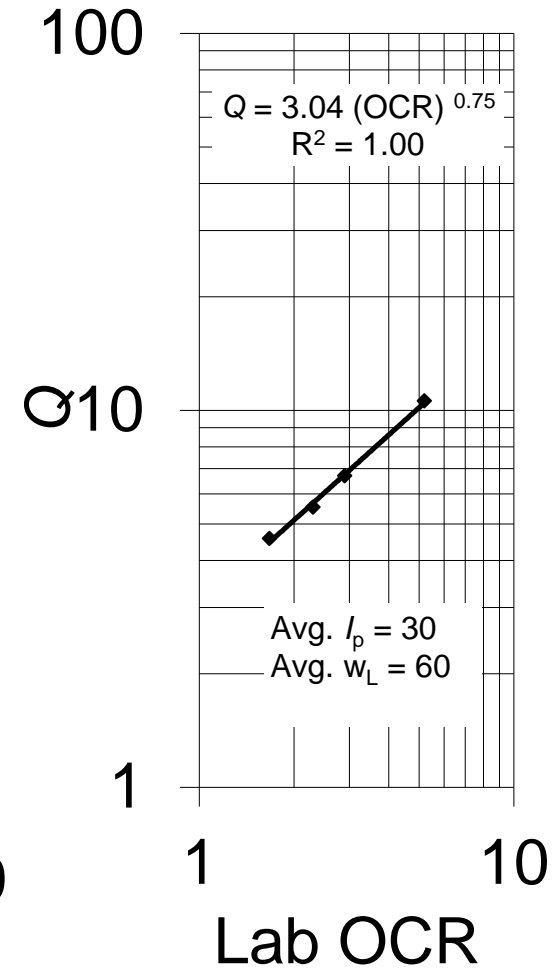
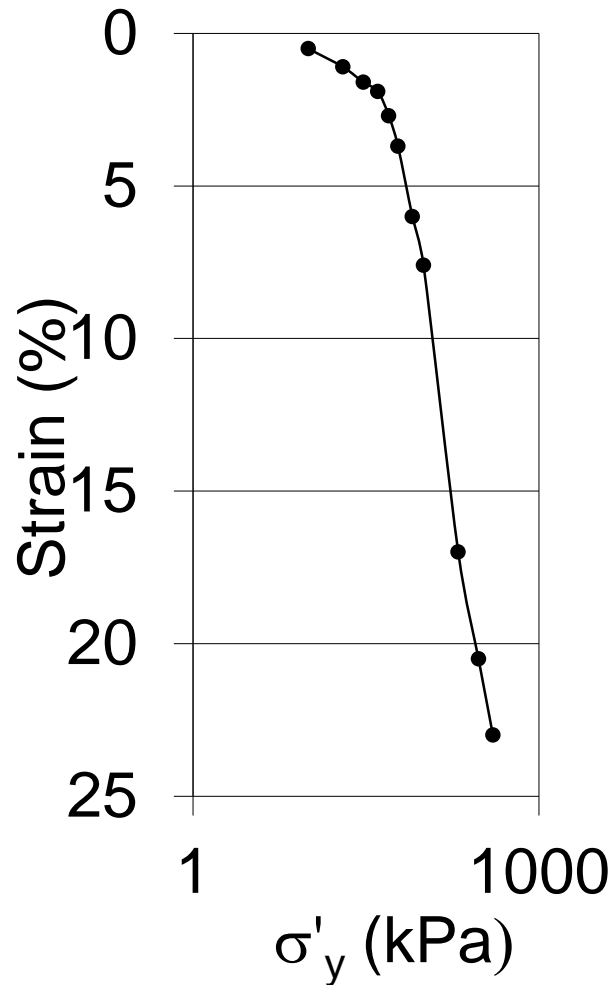
## **Piezocene Data to Assess OCR**

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- ▶ A key improvement to Saye et al. (2013b) is recognition of the effects of soil structure for overconsolidated soils

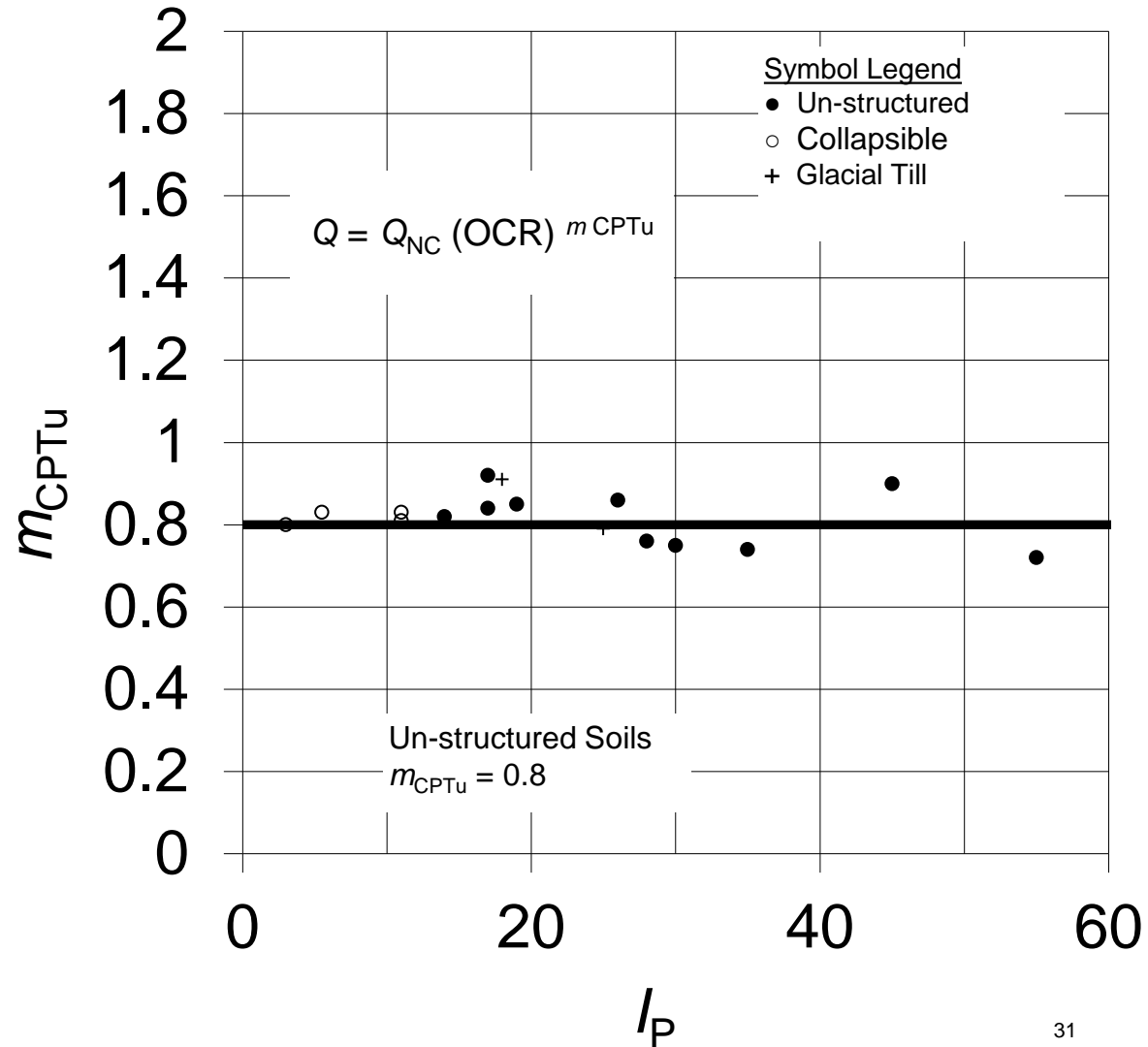
# Unstructured Soil Behavior

- ▶ Linear virgin compression line
- ▶  $m_{CPTu} < 1$
- ▶ Changes in overburden control OCR



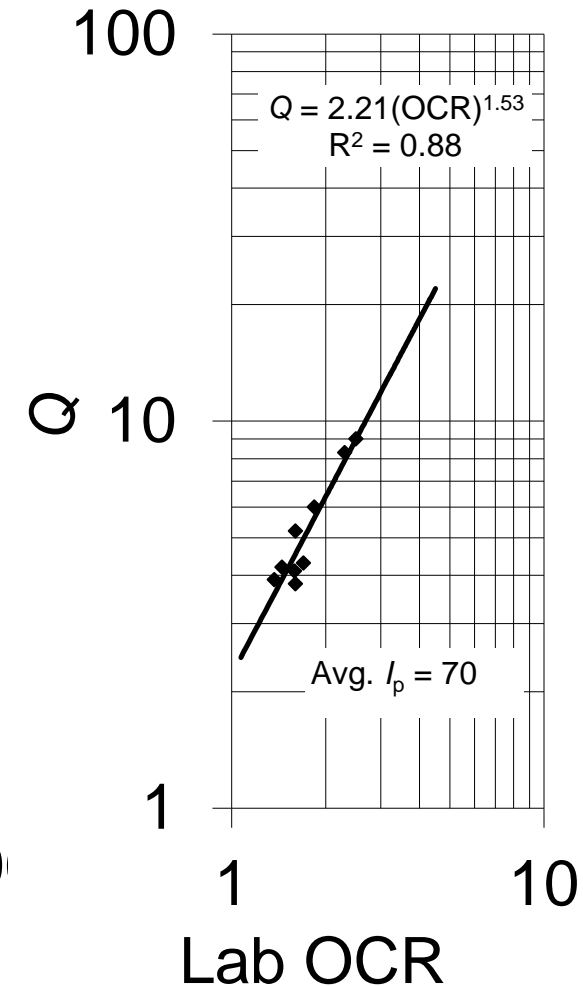
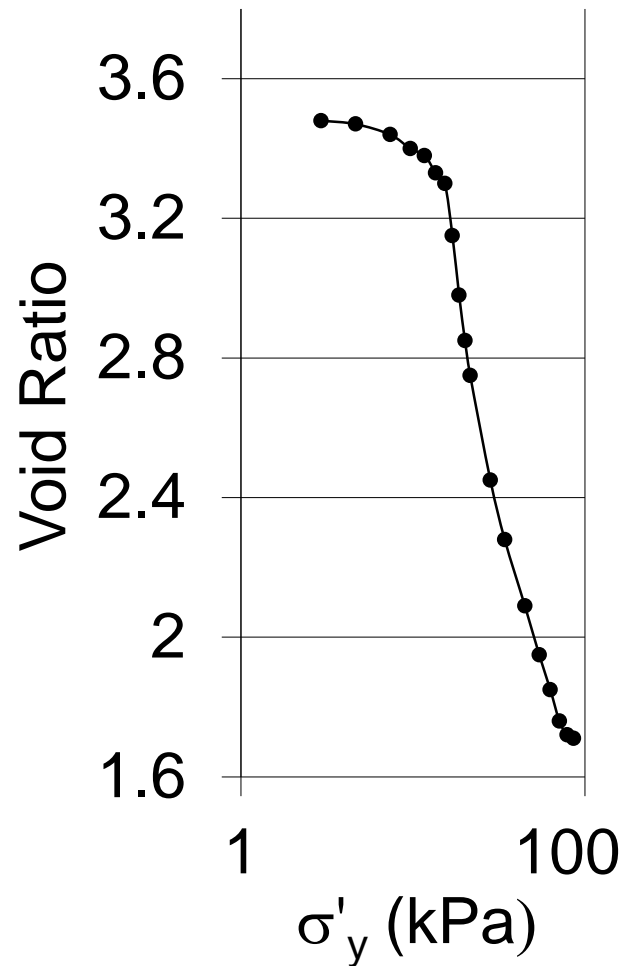
# $m_{CPTu}$ for Unstructured Soils

- ▶  $m_{CPTu} = 0.8$  for unstructured soil behavior



# Structured Soil Behavior

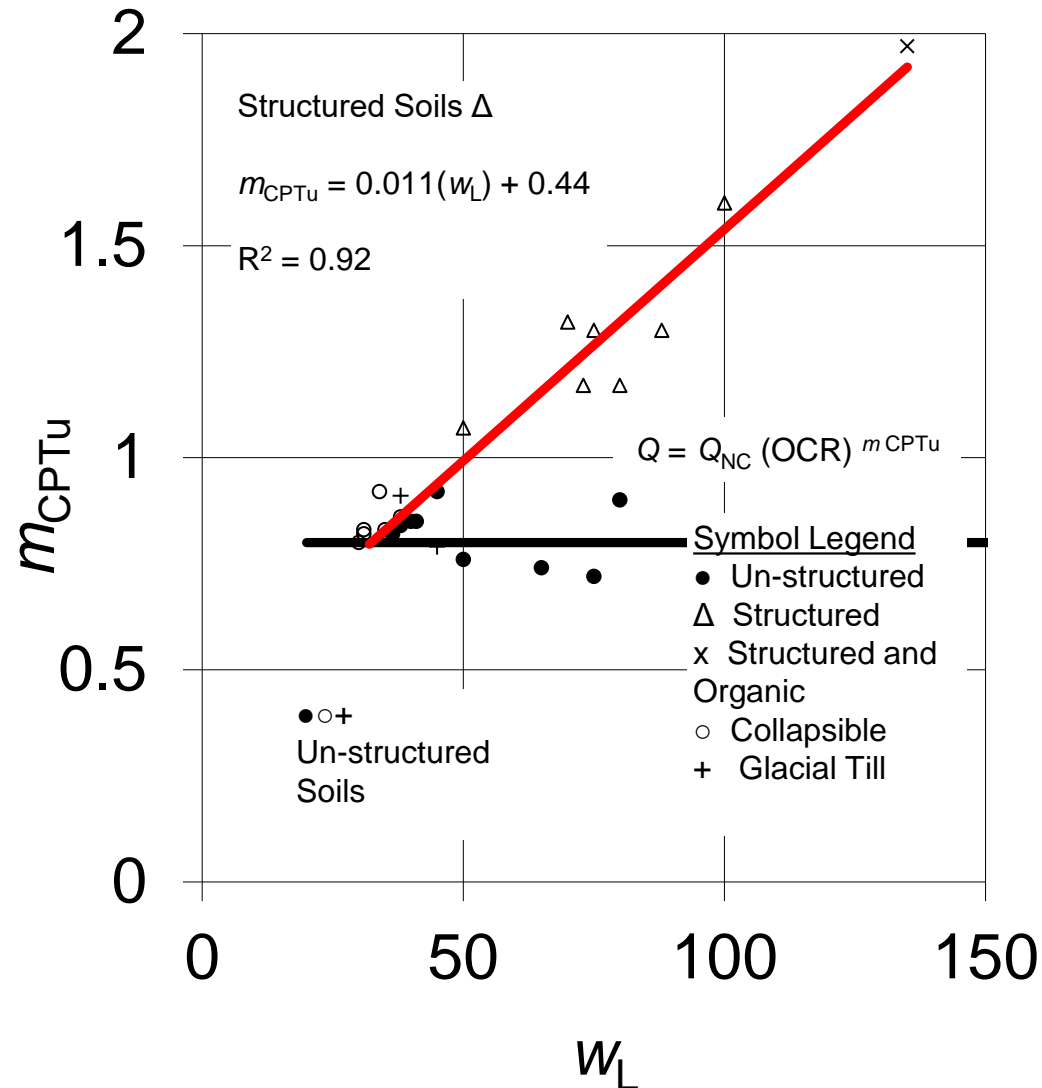
- ▶ Non-linear virgin compression line
- ▶  $m_{CPTU} > 1$
- ▶ Secondary compression and ageing are key components of OCR





# Piezocene Data to Assess OCR – Structured Soils

- ▶  $m_{CPTu}$  varies with soil index properties for structured soil behavior
- ▶  $m_{CPTu} > 1$
- ▶ Update Saye et al. (2013b) with
- ▶  $m_{CPTu} = 0.011 w_L + 0.44$
- ▶  $m_{CPTu} = 0.014 I_p + 0.67$

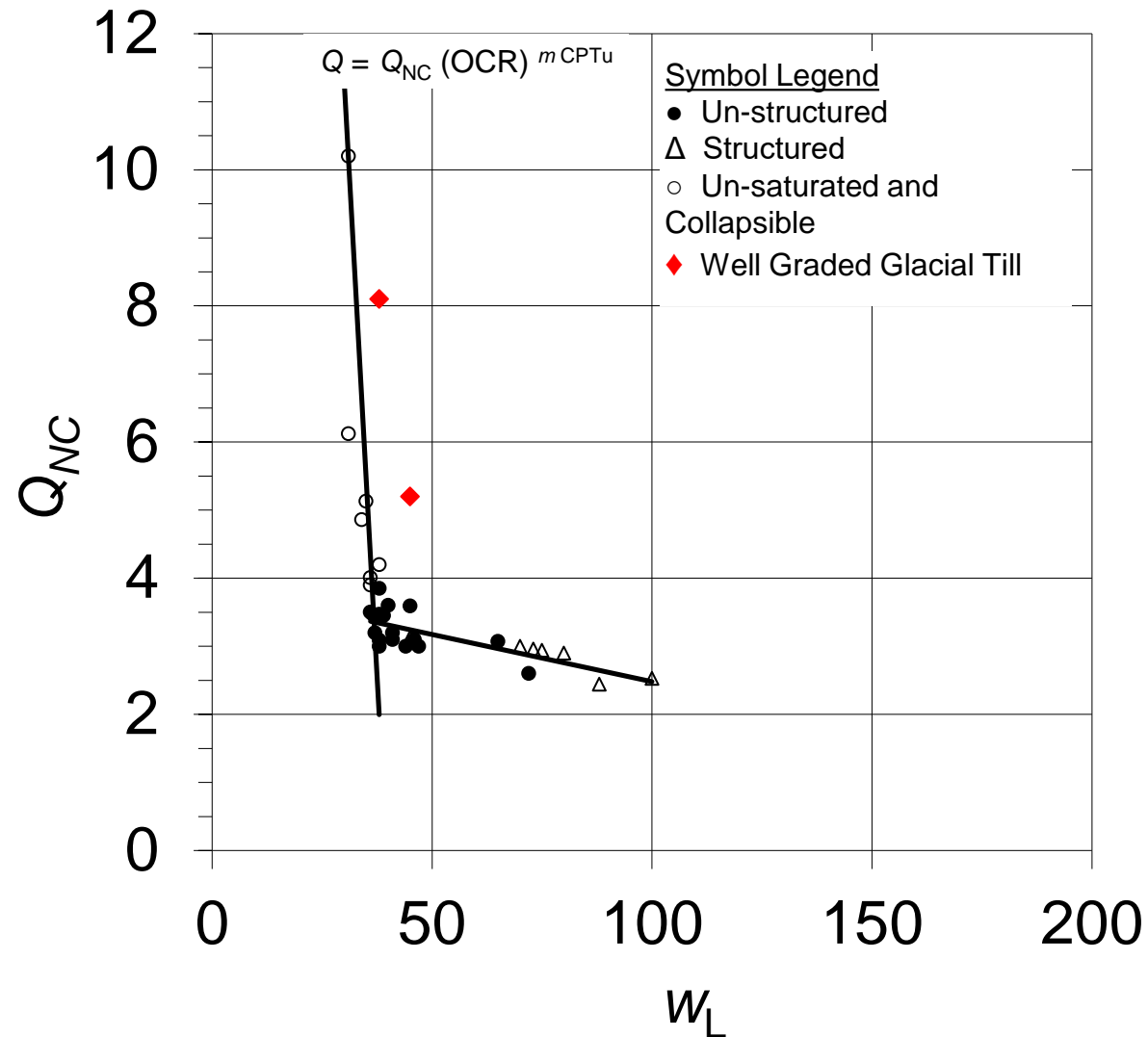


## **Piezocene Data to Assess OCR**

- ▶  $Q_{NC}$  varies with soil index properties for both structured and unstructured soil behavior
- ▶  $Q_{NC}$  variations for unsaturated low plastic soils and Peorian Loess
- ▶ Replace Eq. (6a) and (8a) in Saye et al. (2013b) with:
- ▶  $Q_{NC} = 46.4 - 1.17 w_L$
- ▶  $Q_{NC} = 18.5 - 1.28 I_p$

# Exception for glacial till

- ▶ Well-graded materials like glacial till require a project-specific correlation for correlations referencing index properties



# Verification Testing

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- ▶ High quality samples for oedometer tests
- ▶  $\epsilon$  to  $\sigma'_{vo}$
- ▶ Structured / unstructured behavior
- ▶ Determine soil index properties
- ▶ Confirm OCR and adjust correlation coefficients if needed
- ▶ Oedometer tests in same borehole
- ▶ Boring matched with CPTu

# Conclusions

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- ▶ A key aspect of the SHANSEP approach is the separation of the normally consolidated behavior from the overconsolidated behavior
- ▶ The  $m_{CPTu}$  exponent provides a convenient way to address structured and unstructured soil behavior

## Conclusions In Situ Tests

- ▶ Sites underlain by cohesive soil have an OCR that can be assessed with both laboratory and in situ tests
- ▶ In situ and laboratory test results from a wide variety of methods are consistent with the SHANSEP relationship (Ladd and Foott 1974)

$$\text{Normalized test value} = S (\text{OCR})^m$$

$$\text{OCR} = [\text{Normalized Value} / S]^{1/m}$$

- ▶ S and m vary with soil index properties

## Conclusions In Situ Tests

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- ▶ Structured soil is associated with  $m_{\text{CPTu}} > 1$
- ▶ Unstructured soil is associated with  $m_{\text{CPTu}} < 1$
- ▶ The SHANSEP approach addresses these soil structure changes

# Conclusions In Situ Tests

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- ▶ Develop:
  - Local empirical correlations – geologic materials
  - Project-specific correlations
  - Index property correlations
- ▶ Validate the SHANSEP correlation coefficients



## **Conclusions Driven Closed-ended Piles**

- ▶ The SHANSEP approach provides an efficient approach to evaluate the side adhesion of closed-ended and small diameter open-ended pipe piles
- ▶ Construction influence factor is added to accommodate the detrimental effects of re-driving piles, long installation times, and vibratory hammer installation

# Acknowledgement

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- ▶ Dr. Charles C. Ladd III
- ▶ Dr. Melvin I. Esrig
- ▶ Bryan Kumm - HDR

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